

Form 210A (10/06)

United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors.

Case No. 08-13555 (JMP)

TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

UBS AG, Zurich / Switzerland

Name of Transferee

Name and Address where notices
to transferee should be sent:

Bank Vontobel AG, Zurich / Switzerland

Name of Transferor

Court Claim # (if known): 67373.15

Date Claim Filed: not known

Amount of Claim: to be determined

Portion of Claim Transferred (see
Schedule I): _____

Phone: _____

Last Four Digits of Acct #: _____

Name and Address where transferee
payments should be sent (if different
from above):

Phone: _____

Last Four Digits of Acct #: _____

Phone: _____

Last Four Digits of Acct. #: _____

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: _____
Transferee/Transferee's Agent

Date: January 07, 2021

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Bank Vontobel AG
Gotthardstrasse 43
CH-8022 Zürich

EVIDENCE OF TRANSFER OF CLAIM

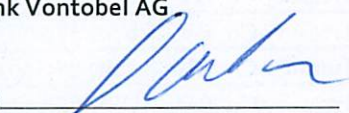
TO: THE DEBTOR AND THE BANKRUPTCY COURT

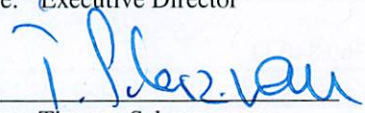
For value received, the adequacy and sufficiency of which are hereby acknowledged, **BANK VONTOBEL AG** ("Transferor") unconditionally and irrevocably transferred to **UBS AG**, ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (**Claim No. 67373.15**) **SEVERAL** in nominal value related to the security with International Securities Identification Number listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS
EXECUTED ON October 21, 2010.

Bank Vontobel AG

By: 
Name: Andreas Sarbach
Title: Executive Director

By: 
Name: Thomas Schwerzmann
Title: Director

SCHEDULE I

Lehman Programs Securities Related to Transferred Portion of Claim:

ISIN	Court Claim #	Date Claim Filed	Issuer	Units/Amount of Claim related to Security
XS0268858502	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 9,933.74
Xs0274445120	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 44,602.13
ANN521338783	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 7,639.85
CH0027120747	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 21,286.59
XS0251180906	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 18,753.78
XS0300658597	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 311,322.90
ANN5214R8254	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 111,551.68
XS0226380334 SPLITTING	67373.15 FROM	October 29, 2009 TOTAL ALLOW	AMOUNT USD 53,522.56	USD 26,761.28
XS0347732892	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 20,327.45
XS0267460359	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 70,955.29
XS0186883798	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 52,000.00
CH00027120648	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 28,554.05
CH0027120671	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 75,823.64
XS03203226901	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 64,698.48
XS0324890440	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 146,204.94
CH0036891148	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 35,681.70
CH0036891122	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 27,835.09
CH0027120770	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 35,576.09
XS0211093041	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 43,091.27
XS0367651782	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 41,926.01
CH0027120978	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 42,573.17
XS0176153350	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 58,353.02
XS0337337710	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 27,366.09
CH0027120655	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 26,842.49
XS0234123650	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 35,753.34
XS0270828584 SPLITTING	67373.15 FROM	October 29, 2009 TOTAL ALLOW	AMOUNT USD 360,840.11	USD 86,784.33
XS0270987547	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 153,712.14
XS0325550555	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 67,103.86
CH0034783701	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 35,623.48
CH0034783669	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 102,175.62
CH0034783685	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 75,000.00
XS0187967160	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 365,737.50
XS0269969027	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 14,383.07
XS0326086716	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 273,202.46
CH0027120754	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 25,543.90
XS0340756898	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	

CH0043088654 SPLITTING	67373.15 FROM	October 29, 2009 TOTAL ALLOW	Lehman Brothers Treasury Co. BV AMOUNT USD 70,307.08	USD 14,061.42
ANN5214A1035	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 19,645.65
CH0027120614	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 6,439.37
CH0027120689	67373.15	October 29, 2009	Lehman Brothers Treasury Co. BV	USD 46,830.52

Vontobel

United States Bankruptcy Court
Southern District of New York
One Bowling Green
New York, NY 10004-1408
United States

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CHE-116.300.767 MWST (VAT)

1/1 Zurich, January 7, 2021

Evidence of Transfer of Claim

Dear Sir or Madam

Enclosed please find one form "Evidence of Transfer of Claim" with 36 positions.

Please note, that the mentioned Lehman Products are deposited in our bank.

Our claim number is: 67373


If you have any questions, don't hesitate to contact us.

Best regards

Bank Vontobel AG



Andreas Sarbach
Executive Director



Thomas Schwerzmann
Director